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slides

# **Cyber Risk Governance** Leveling up in an Age of New Regulations and Al



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Dr. Marc Zissman MIT Lincoln Lab

#### Overview

In addition to architecting and implementing AI-enabled capabilities to obtain best value, corporate leaders must manage and mitigate risk arising from

Adversarial threats to AI data, models, software & processes

Compliance & ethical challenges in an evolving AI landscape Threats to the organization's reputation

It's also crucial to assess whether the AI-enabled capability is performing as intended

In this session, we will hear **perspectives on each of these risk management challenges** from a cross-sector panel of leaders with experience in industry and government



**Key Session Outcomes** 

- Case studies from leading organizations taking advantage of AI
- Sharpened understanding of compliance, ethics and reputational challenges in an evolving legal landscape
- Insights into emerging defenses against adversarial threats
- Toolkit with key questions to evaluate vendors, partners, and internal systems



# What has changed recently?

#### 1. More Data



#### 2. More Compute Power



#### **3. Better Algorithms**





# **An Example AI Application: Image Recognition**



# **Attacking the Image Recognition System**



# MITRE ATLAS<sup>TM</sup> Knowledge Base

MITRE ATLAS<sup>™</sup> (Adversarial Threat Landscape for Artificial-Intelligence Systems), is a **knowledge base of adversary tactics, techniques, and case studies for machine learning (ML) systems** based on real-world observations, demonstrations from ML red teams and security groups, and the state of the possible from academic research.

ATLAS is modeled after the MITRE ATT&CK® framework and its tactics and techniques are complementary to those in ATT&CK.



### MITRE ATLAS<sup>TM</sup> Knowledge Base



#### For more information, see atlas.mitre.org



# NIST's AI Risk Management Framework (January 2023)

#### Al risk management functions **Characteristics of trustworthy AI systems** ET : $\triangleleft$ Fair – With Harmful Explainable & Secure & Privacy-Safe Measure Map Resilient Interpretable Enhanced **Bias Managed** Accountable Context is Identified risks & recognized and risks are assessed, Transparent related to context analyzed, or Valid & Reliable िति are identified tracked Govern cultivated and 品 Manage Risks are prioritized and acted upon based on a projected impact ACSC For more information, see https://nvlpubs.nist.gov/nistpubs/ai/NIST.AI.100-1.pdf

## White House Executive Order (October 2023)

#### Guiding principles for development and use of AI

- Safety and security, including testing & evaluations
- Responsible innovation, competition, collaboration
- Commitment to American workers
- Equity and civil rights
- Consumer protection
- Privacy and civil liberties
- Manage risk from government use of AI
- Federal government should lead progress
- Cross-agency responses: new plans, regulations, etc.

For more information, see https://www.whitehouse.gov/briefing-room/presidentialactions/2023/10/30/executive-order-on-the-safe-secure-and-trustworthy-developmentand-use-of-artificial-intelligence/



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Dr. Mark Maybury Lockheed Martin



Adeel Saeed Kyndryl



**Avi Gesser** Debevoise & Plimpton

# **Policy and Practice Considerations for Responsible AI**

- Define and establish governance
- Consider broad set of **relevant use cases**
- Establish and use **policies and procedures** across full life-cycle
- Educate stakeholders on benefits and risks
- Establish guidelines for appropriate and inappropriate use

#### Special considerations for generative AI

- Train users on capabilities & limitations, prompt engineering
- Train users on privacy and IP awareness
- Internally sequester large language models w/proprietary data
- Establish controls for access, model release, use monitoring, oversight

#### Foster AI literacy at all levels





#### Examples of Cyber Risks Associated with AI



Moving large volumes of sensitive data from a secure on-premises location to a less secure data lake or cloud environment without proper protections

Sharing confidential data for training or operating the AI with a less secure AI provider or consultant.

Connecting GenAl Agents to emails, calendars, browsers, without sufficient security controls.

Policies and training to prevent phishing and BECs do not properly account for risks of Deepfake attacks.

As presented by Ave Gesser